



**Vicissitudes of leaves in a tropical rain forest in Madagascar**

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4 Vicissitudes of leaves in a tropical rain forest in Madagascar  
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9 Running Title: *Vicissitudes of leaves in rain forest*  
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16 skeletonizers, vertical stratification  
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4 **Abstract:** A sample of 1834 leaves from 83 plants in 26 families was collected in tropical  
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6 rain forest in Madagascar from three vertical strata: top of emergent trees (up to 37 m),  
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8 top of trees in upper canopy (about 22 m), and shrubs and saplings at ground level. These  
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10 leaves were examined for damage by seven different agents: fungi, epiphyllae,  
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12 mechanical injury, galls, leaf miners, grazing insects and skeletonizing insects. Fungi  
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14 affected more than 60% of the leaves and grazing insects 45%-65%, with other agents  
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16 each affecting 3%-30%; fewer than 4% of the leaves escaped unscathed by any agent.  
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18 Individual leaves were attacked by up to five agents. There was a sharp decline in  
19  
20 proportion of leaves affected by fungi, leaf miners, epiphyllae and mechanical breakage  
21  
22 with increasing category of severity. Grazing insects, mechanical injury, and perhaps  
23  
24 galls, had greater impact at ground level than in the canopy, with fungi and skeletonizing  
25  
26 insects showing the opposite pattern. Leaf miners had lower incidence in the canopy than  
27  
28 elsewhere. The observed vertical stratification means that a tree not only needs to balance  
29  
30 its defences to meet multiple threats in any stratum, but must adapt to a different suite of  
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32 challenges during its lifetime. Attack by grazing insects and fungi are major challenges  
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34 for saplings at ground level, but with increasing height above the ground fungal attack on  
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36 leaves becomes more prevalent, but attack by grazing insects less so.  
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## 47 **INTRODUCTION**

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49 During its lifetime an organism experiences significant changes in its ecological  
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51 relationships and plays sequentially different roles in the ecosystem, either as a result of  
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53 metamorphic changes between successive life-history stages or merely as a consequence  
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3 of change in size due to growth (Brose *et al.* 2006, Ebenman 1992, Werner & Gilliam  
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5  
6 1984).

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8 Trees in tropical rain forests pass through an exceptionally great range in size as  
9  
10 they grow from seedlings to mature plants and, although stationary, they may play  
11  
12 successively different roles in the ecosystem, i.e. constitute different ecomorphs (Heatwole  
13  
14 1989). As the leafy portion of the plant passes through different strata, successive cohorts  
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16 of leaves differ in size, shape and function; grow at different rates; have different  
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18 longevities (Bongers & Popma 1988, Garwood 1983, Lowman & Box 1983, Lowman &  
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20 Heatwole 1992); and occupy different spatial and microclimatic environments, each with  
21  
22 its own suite of interacting organisms. The lower strata, where seedlings and young  
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24 saplings grow, experience low light intensities, high CO<sub>2</sub> levels, high humidities, still air  
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26 and equable, moderate temperatures, whereas at the top of the canopy, the diurnal light  
27  
28 intensity is high, and humidity, wind and temperature are more variable and reach greater  
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30 extremes (temperature and wind speed higher than at ground level and humidity lower)  
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32 (Barker 1996, Blanc 1990, Fitzjarrald & Moore 1995, Parker 1995, Richards 1957).

33  
34 Foliage is subject to attack by herbivorous animals and parasitic fungi, to  
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36 encrustation by epiphyllous fungi, lichens, liverworts, mosses and micro-organisms, and  
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38 to mechanical breakage from wind, falling objects and animals. The present study was  
39  
40 designed to assess the kaleidoscope of threats facing leaves as trees grow through the  
41  
42 vertical mosaic of the forest.

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44 One would expect fungi to thrive better in the moister, shadier and less windy  
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46 microclimate at ground level than in canopies or exposed emergent trees, and indeed  
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48 Coley & Kursar (1996) and García-Guzmán & Dirzo (2001) speculated such to be the  
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3 case. Accordingly, one would expect fungal attack on leaves to be greater at ground level  
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5 than in higher strata. Epiphyllae, being moisture sensitive, would also be expected to  
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7 have this pattern.  
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11 Conversely, the fact that insects often have been found to be more abundant in the  
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13 canopy than at ground level (Basset *et al.* 1992, 2001; Koike *et al.* 1998, Sutton 1989,  
14  
15 Sutton & Hudson 1980) leads to the hypothesis of greater damage by insects to leaves in  
16  
17 the upper strata than to leaves nearer ground level.  
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21 Plants at ground level would be the recipients of the cumulative throughfall of  
22  
23 fruits and sticks from all layers above; hence mechanical injury from this source would  
24  
25 be expected to be higher at ground level. Lacking prior information on the relative  
26  
27 abundance of large animals in different strata, and not having an assessment of the  
28  
29 relative effect of wind versus falling objects as sources of mechanical injury to leaves, a  
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31 directional hypothesis could not be constructed for mechanical injury.  
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35 Four hypotheses were posed for testing in the present study. Hypothesis 1: impact  
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37 of fungi on leaves is greater at ground level than in higher strata. Hypothesis 2: impact of  
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39 epiphyllae is greater at ground level than in higher strata. Hypothesis 3: impact of insects  
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41 is greater in upper strata than in lower ones. Hypothesis 4: there is no difference among  
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43 strata in the mechanical injury to leaves. Operationally, the data were tested against null  
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45 hypotheses of no differences among strata for all agents.  
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## 53 **STUDY AREA**

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3 Fieldwork was conducted 31 October-13 November 2001 in the Masoala National Park  
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5 on Masoala Peninsula in north-eastern Madagascar. The park includes an elevational  
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7 gradient spanning habitats from lowland, humid, evergreen rain forest near sea level to  
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9 montane thicket and cloud forest at >1200 m and has an area of 211,230 ha (Kremen *et*  
10  
11 *al.* 1999). There are no roads on the peninsula and inhabitants live in small villages. The  
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13 populace depends on agriculture and gathering of forest products; timber is harvested  
14  
15 only by hand-felling (Kremen *et al.* 1999). Sufficient area was set aside outside the park  
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17 to cater for local needs and since the park was gazetted in 1997, disturbance to the forest  
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19 by humans has been minimal.  
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25 The study was carried out in lowland to mid-elevation humid evergreen forest  
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27 from a base of operations at the Tampolo Field Station (15°43'48"S; 49°57'34"E)  
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29 (Monte-Alegre *et al.* 2005). There was a closed upper canopy at 15-22 m and a less  
30  
31 complete mid-canopy at 10-13 m. Scattered emergent trees, up to 37 m tall, protruded  
32  
33 above the upper canopy. Branches and trunks at all levels supported a profuse growth of  
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35 epiphytes, lianes and parasitic plants. The ground layer consisted of a sparse cover of  
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37 seedlings, saplings and shrubs.  
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#### 43 MATERIALS AND METHODS

44  
45 Leaves from the tops of the crowns of trees were sampled using (1) a dirigible (2) a  
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47 canopy raft, (3) prefabricated tree-houses and (4) traditional rope-climbing. The  
48  
49 equipment and methods have been described and illustrated by Hallé (2002), Hallé *et al.*  
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51 (2000), Mitchell *et al.* (2002) and Montgomery (1977).  
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There were 18 emergent trees within the dirigible's range and all were sampled, as were 24 canopy trees, by investigators suspended below the dirigible in a basket and using secateurs and saws to remove branches. In addition, four trees from the canopy were sampled from the canopy raft and two from tree houses. The sample size was 30 leaves, consisting of the two oldest (most basal) leaves from each of 15 branches. At ground-level, saplings and shrubs were sampled from on foot at 10-m intervals along a service path, choosing the plant to the right of the transect at odd-numbered stations and the one to the left at even-numbered stations. The number of leaves in samples in this stratum varied because few of the shrubs and none of the saplings had 15 branches. Consequently, all hardened, mature leaves (as ascertained by colour, texture and sclerophylly of the leaves; Lowman 1985), not just the oldest two, were collected from individual branches. Statistical tests verified the validity of lumping mature leaves of different ages for all agents but epiphyllae (Heatwole, unpubl. data).

Procedures for assessing damage varied depending on the agent. Leaves were individually scored separately for mechanical breakage, encrustation by epiphyllae, attack by fungi, and attack by leaf miners, as: nil (no damage), + (damage estimated to be <5% of leaf area), slight (5%-10%), moderate (11%-15%), or heavy (>15%). Number of galls on each leaf was recorded. Finally, the outline of each leaf was traced on paper and the extent of damage by grazing insects and skeletonizing insects traced separately within the outline of the leaf. The total, undamaged area of each leaf was measured to the nearest 0.01 cm<sup>2</sup> by a Li-Cor area meter, model LI-3100. Then, the area eaten by insect grazers was excised by manicure scissors and a second reading taken. Finally, the area eaten by skeletonizers was excised and a final measurement made. Subtraction of the

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3 various leaf areas allowed separate calculation of the percentage of the total leaf surface  
4 eaten by these two trophic categories. Insect bite marks were distinguished from  
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6 mechanical breakage by the nature of the broken surfaces. Some damage caused by  
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8 grazers and skeletonizers could have been inflicted at different stages in the early growth  
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10 of a leaf. Even if leaves continue to grow after having been damaged, however, the  
11  
12 proportional extent of grazing can be directly compared as Lowman (1987) has shown  
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14 that the holes caused by herbivores increase in size in proportion to the growth of the leaf  
15  
16 itself. The number of attacks on the leaf by grazing and skeletonizing insects was taken to  
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18 be the number of separate indentations or holes in the leaf. The higher numbers may have  
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20 been underestimated by the coalescence of two or more separate attacks into one outline.  
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27 The scoring of damage by fungi, leaf miners and mechanical forces, and of  
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29 encrustation by epiphyllae, only allowed for assessment of incidences of these types of  
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31 damage, and of relative frequencies of the different categories of severity, and was  
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33 qualitative. Accordingly, non-parametric statistics were used. When expected values fell  
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35 below five in chi-square analyses, categories were lumped until this minimum criterion  
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37 was met.  
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41 All other data were subjected to parametric statistical analysis. The data on  
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43 incidence and on various measures of attack and damage to leaves by grazing and  
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45 skeletonizing insects were skewed strongly to the left. Accordingly, two separate  
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47 analyses were performed, one comparing the percentages of leaves that were attacked and  
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49 the other comparing various aspects of herbivory only on those leaves that had suffered  
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51 attack. The data for attacked leaves were skewed so they were normalized by logarithmic  
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53 transformation before analysis. In analyses of variance (ANOVA), each entity was also  
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3 tested pairwise against each other entity by Fisher PLSD tests. Parametric statistical tests  
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5 were performed using a Statview 1992-1998 program from SAS Institute.  
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8 Local botanists identified a few trees to genus or species, but most lacked flowers  
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10 or fruits and could only be identified to family. The short time allotted to each participant  
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12 of the expedition, the high biodiversity of the trees and the distant spacing of conspecific  
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14 trees did not allow for controlled replication of individual taxa of trees.  
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17 The average of the values from the leaves from each individual tree was used as  
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19 the basic datum for use in statistical analyses, i.e. the individual tree, not the individual  
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21 leaf, was the unit of comparison. The means of the values for individual trees were used  
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23 to calculate the 'grand mean' for strata. Values are expressed as mean  $\pm$  SE.  
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## 27 28 **RESULTS**

29  
30 Four kinds of assessment of impact by agents were made: (1) number of agents (the  
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32 number of different agents attacking an individual leaf), (2) incidence of attack (per cent  
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34 of leaves suffering one or more attacks by a given agent), (3) intensity of attack (number  
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36 of attacks by a given agent per attacked leaf) and (4) extent of damage to attacked leaves,  
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38 indicating the reduction of photosynthetic area available to the plant (percentage of total  
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40 area of leaf lost to grazing or skeletonizing insects, but qualitative ranking for other  
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42 agents).  
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47 A total of 1834 leaves from 83 plants of 26 families was collected. Appendix 1  
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49 shows the allocation of samples among families. Families of trees were not uniformly  
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51 represented in the samples and if families differ in the degree to which they are attacked,  
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53 such disproportionate representation could bias the results toward the characteristics of  
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55 the families with the most trees in the sample. In one or more strata there were significant  
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3 differences among families in the number of agents attacking leaves, in the incidences of  
4 attack by galls, and in intensity of attack by grazing and skeletonizing insects (Table 1);  
5 consequently, interstratal comparisons could not be made for galls or for intensity of  
6 attack; the number of comparisons for number of agents was limited. For other agents  
7 and measures of attack or damage, differences among families within levels were not  
8 significant and valid comparisons among strata could be made.  
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### 19 20 **Number of attacking agents**

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22 Leaves often suffered attack from multiple agents and fewer than 4% escaped unscathed  
23 by any agent. Overall, the mean number of agents attacking a leaf was  $1.83 \pm 0.02$  and  
24 the percentages of leaves attacked by 0, 1, 2, 3, 4 and 5 agents were 3.4, 34.5, 41.6, 17.3,  
25 3.0 and 0.3 respectively. No leaf was attacked by more than five of the seven agents  
26 studied.  
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34 The number of agents attacking an individual leaf averaged 1.90 for the  
35 emergents, 1.69 in the canopy and this difference was significant (PLSD:  $P = 0.047$ ). The  
36 value at ground level was highest (2.10) but because families differed significantly within  
37 the ground layer, statistical comparison could not be made between it and other strata.  
38 Thus, the number of agents attacking leaves decreased from emergents to canopy and  
39 may have increased again at ground level.  
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### 49 **Incidence of attack**

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52 Incidence of attack varied among agents, with fungi having the highest values (>60% in  
53 all strata), followed by grazing insects (between 45% and 65%); all other agents had  
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3 values between 3% and 30%, with leaf miners and epiphyllae mostly falling below 30%  
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5 and skeletonizing insects, galls and mechanical injury below 15% (Figure 1).  
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8 The percentage of leaves that were attacked by fungi differed significantly among strata  
9  
10 ( $F = 10.4$ ;  $P < 0.0001$ ) (Figure 1), with the values for emergents (E) and canopy (C) both  
11  
12 being significantly higher than those for the ground layer (G) (PLSDs:  $P = 0.003$ ,  $P <$   
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14  $0.001$  respectively), but the two upper strata did not differ significantly (PLSD:  $P =$   
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16  $0.530$ ). The most common pattern, however, was the inverse, with grazing insects ( $F =$   
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18  $6.76$ ;  $P = 0.002$ ), epiphyllae ( $F = 6.66$ ;  $P = 0.002$ ) and mechanical injury ( $F = 3.71$ ;  $P =$   
19  
20  $0.029$ ) having significantly higher incidences at ground level than in the two upper strata.  
21  
22 For grazing insects and epiphyllae the emergents and canopy did not differ significantly  
23  
24 from each other (PLSD for E versus C: grazing insects  $P = 0.837$ ; epiphyllae  $P = 0.449$ )  
25  
26 but each differed significantly from the ground layer (grazing insects: E vs. G,  $P = 0.004$ ,  
27  
28 C vs. G,  $P = 0.002$ ; epiphyllae: E vs. G,  $P = 0.028$ , C v. G,  $P = 0.006$ ). The incidence of  
29  
30 mechanical breakage progressively increased from higher to lower strata. The differences  
31  
32 were significant overall ( $F = 3.71$ ;  $P = 0.029$ ) as was the pairwise comparison between  
33  
34 the emergents and ground level (PLSD:  $P = 0.013$ ); however, the individual intermediate  
35  
36 increments were not significant ( $P = 0.054$  and  $0.384$  for G vs. C and C vs. E  
37  
38 respectively).  
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46 By contrast incidence of skeletonizing insects did not show any significant  
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48 differences in incidence among strata ( $F = 1.95$ ;  $P = 0.149$ ). Overall (Figure 1) or in  
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50 pairwise comparisons.  
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4 Incidence of attack by leaf miners (Figure 1) was significantly lower in the  
5 canopy than at ground level (PLSD:  $P = 0.0003$ ) or in the emergents ( $P = 0.002$ ), but the  
6 emergents and ground level did not differ significantly ( $P = 0.955$ ).  
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10 Unlike other agents, infestation by galls was strongly influenced by family of host  
11 tree in the emergents and in the canopy (Table 1) and that precluded rigorous assessment  
12 of the slight differences among strata.  
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### 17 18 19 20 **Intensity of attack**

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22 Many of the leaves that sustained damage from either grazing or skeletonizing insects or  
23 galls in the emergent trees and canopy were subject to only one attack, with successive  
24 numbers of multiple attacks sharply declining to very low proportions (Table 2). At  
25 ground level, for all three groups, the intensity of attack was greater, in that a lower  
26 proportion of leaves suffered single attacks but accordingly a higher proportion suffered  
27 multiple attacks than did the higher strata.  
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37 The mean number of attacks per attacked leaf by grazing and skeletonizing insects  
38 progressively increased from emergents to canopy to ground level (grazers: E 1.94, C  
39 2.18, G 2.45; skeletonizers: E 1.53, C 2.39, G 3.33). The mean intensity of attack by galls  
40 was 6.9 in the emergents, 3.5 in the canopy and 4.5 at ground level. The difference  
41 between the emergents and canopy for skeletonizers was significant ( $P = 0.008$ ) but other  
42 tests among strata for the three kinds of insects were precluded by the significant  
43 differences among families of trees in some strata.  
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54 The more qualitative assessment for the other agents required a different method  
55 of assessment of intensity. There was a sharp decline in the proportion of leaves affected  
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4 by fungi, leaf miners, epiphyllae and mechanical breakage with increasing category of  
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6 severity (Table 3). For all these groups, more than 70% of the leaves suffered only the  
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8 smallest category of damage, with values generally declining to very low percentages of  
9  
10 leaves subjected to heavy damage.  
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### 12 13 14 15 **Extent of damage**

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17 The distribution of leaves in the various categories of damage by fungi differed among  
18  
19 strata. The incidence of attack was lower at ground level (Figure 1), i.e. a greater  
20  
21 percentage of leaves escaped damage altogether (nil damage), than in the two upper  
22  
23 strata. Furthermore, among those leaves that were attacked, there was a significant,  
24  
25 progressive shift from the ground upward toward decreasing proportions of leaves in the  
26  
27 category of least damage (+) and increasing proportions in the categories of greater  
28  
29 damage (slight, moderate, heavy) (Table 3). Thus, the extent of fungal damage increases  
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31 progressively from the ground upward.  
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37 The extent of damage by leaf miners differed significantly among strata (Table 3),  
38  
39 with a lower proportion of leaves at ground level suffering minimal damage and a greater  
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41 proportion suffering moderate damage than was true for the upper two strata. Thus, the  
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43 extent of damage by leaf miners is greatest at ground level.  
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47 At ground level, the proportion of leaves with minimal coverage by epiphyllae  
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49 (category +) was relatively lower, and the proportion with greater coverage (slight,  
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51 moderate, heavy) relatively higher, than for the canopy (Table 3). The emergents were  
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53 intermediate, but more similar to the ground layer than to the canopy.  
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4 The extent of mechanical injury to leaves progressively increased from the upper  
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6 to the lower strata and the differences among strata were significant (Table 3). In the  
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8 emergents, all attacked leaves suffered only minimal damage, whereas at ground level  
9  
10 only about two-thirds of the leaves had minimal damage and a quarter of them suffered  
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12 moderate damage; the canopy was intermediate.

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15 The percentage of the leaf area of attacked leaves that was consumed by grazing  
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17 insects was least in the emergents and increased progressively to the canopy and to  
18  
19 ground level (Figure 2). The ground layer had significantly higher values than did either  
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21 the canopy (PLSD:  $P = 0.002$ ) or the emergents (PLSD:  $P = 0.0003$ ), but the canopy and  
22  
23 emergents were not significantly different (PLSD:  $P = 0.258$ ). The pattern of impact was  
24  
25 the opposite for skeletonizing insects; they consumed progressively greater percentages  
26  
27 of leaf area from ground to canopy to emergents ( $F = 3.56$ ;  $P = 0.03$ ) (Figure 2). The  
28  
29 incremental increases were not significant (PLSD:  $P = 0.090$  from ground to canopy, and  
30  
31  $0.269$  from canopy to emergents), but ground level did differ significantly from emergents  
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33 (PLSD:  $P = 0.012$ ) (Figure 2)

## 34 35 36 37 38 39 40 41 **DISCUSSION**

42  
43 The various analyses of the present study converge to reveal three basic vertical patterns  
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45 of impact of agents on leaves: (1) greatest impact at ground level, (2) greatest impact in  
46  
47 the upper two strata, and (3) no discernible difference among strata. These were the three  
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49 patterns proposed by the original four hypotheses but in only one of the four did the  
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51 empirical data support applicability to the hypothesized agent.  
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Most agents exhibited the first of the above three patterns. On average, the number of different agents attacking a leaf progressively declined from the ground through the canopy to the emergent trees. Not only did grazing insects attack a greater proportion of leaves at ground level than in the upper strata, but the number of attacks per leaf and the proportion of the leaf area consumed were also greater. Leaf miners had more extensive damage and galls had greatest intensity of attack near the ground than in other strata but otherwise measures of herbivory for these two agents did not differ significantly among strata. Thus, for these three groups of insects, the hypothesis of greater impact of insects in the higher strata than at ground level (hypothesis 3) was not supported; in fact, the reverse was found to be the case.

Epiphyllae had a higher incidence and a greater coverage of the leaf at ground level than in upper strata and thus the data support original hypothesis 2.

Mechanical injury showed a progressive increase in incidence and extent of damage from emergents to canopy to ground and thus the null hypothesis (Hypothesis 4) was falsified.

The second pattern was found in pathogenic fungi and skeletonizing insects, i.e. the vertical trend was in the opposite direction to that of the three previous agents. For fungi, incidence of attack and the degree of damage inflicted both were greater in the two upper strata than at ground level. Thus, Hypothesis 1 was falsified and fungi conformed to just the opposite pattern. Although skeletonizing insects had a greater intensity of attack near the ground, the damage inflicted by these attacks was less than in the higher strata and hence this trophic category conformed to hypothesis 3.

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4 Fungi constituted the agent with the highest incidences of attack overall. Their  
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6 incidence of attack was lowest at ground level but, even so, it was equivalent to that of  
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8 the second most important agent, grazing insects, whose peak incidence occurred at that  
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10 level. The incidence of attack by grazing insects, however, may be an underestimate.  
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12 Recall that at ground level, all hardened, mature leaves were harvested for the samples,  
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14 rather than just the two oldest ones on the branch. Although grazing on leaves after they  
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16 have matured and hardened is minimal in the study area (Heatwole, unpubl. data), some  
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18 of the leaves may not have sustained the full amount of grazing they would suffer in their  
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20 lifetime. Hence, the observed values of grazing on the leaves at ground level may be  
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22 underestimates and the differences between the ground layer and the upper strata may be  
23  
24 even more pronounced than portrayed here. The same consideration would apply even  
25  
26 more strongly to epiphyllae as they were demonstrated to continue to increase their  
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28 impact after maturation and hardening of leaves (Heatwole, unpubl. data). Thus,  
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30 correction for this possible source of bias would strengthen, rather than weaken, the  
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32 conclusions drawn.  
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39 García-Guzmán & Morales (2007) noted that fungal diseases were common in  
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41 tropical forests and were perhaps the most important source of plant damage, with 53%-  
42  
43 92% of plants affected, depending on type of forest. This conclusion was supported by  
44  
45 the present study in which fungi had a higher incidence than did any other agent. By  
46  
47 contrast, Benítez-Malvido *et al.* (1999) and Benítez-Malvido & Lemus-Albor (2005)  
48  
49 found the reverse, i.e. damage by herbivorous insects greater than that by pathogenic  
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51 fungi. Attack of leaves by fungi and by insects may not be independent events; García-  
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3 Guzmán & Dirzo (2001) found that wounding of leaves by herbivorous insects paved the  
4 way to establishment of pathogenic fungi.  
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8 Various authors have found incidence of fungal attack to be more prevalent in the  
9 open, sunny edges of forests than in the darker interior (Benítez-Malvido & Lemus-Albor  
10 2005, Gilbert *et al.* 2007) and Benítez-Malvido *et al.* (1999) suggested that perhaps the  
11 acidic, heavily leached soils may have inhibited fungal growth. Gilbert *et al.* (1997)  
12 found that the density of the fungus *Scolecopeltidium* on leaves in lowland tropical  
13 forests in Panama and Costa Rica showed a strong positive relationship with the degree  
14 of openness of the canopy, and indicated that individual plants subjected to high light  
15 intensities had more extensive colonization by the fungus. This accords with the greater  
16 incidence of fungi in emergent trees and top of the canopy than at ground level in the  
17 present study. In a later study, however, Gilbert *et al.* (2007) concluded that sites in the  
18 dark understorey were 2.5-4 times more likely to have fungi than were those in the  
19 exposed canopy, but the numbers in their Table 1 showed the opposite. Gilbert (pers.  
20 comm.) indicated that some numbers had been inadvertently inverted for canopy and  
21 understorey in the table and that the conclusions drawn in their text were correct. Thus, in  
22 that study they did find the fungus to be more abundant in the darker understorey than in  
23 the canopy, the reverse of the present study.  
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46 Strong skewness in the frequency distribution of levels of leaf damage toward  
47 lower levels, as observed in the present study, may be generally applicable, as de la Cruz  
48 & Dirzo (1987) found that 41% of leaves remained free of insect-inflicted damage and  
49 only 0.5% showed damage greater than 25%.  
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Estimates of the leaf area consumed annually by herbivorous insects in tropical forests have varied from about 7% to about 20%, with episodic outbreaks reaching higher levels (reviewed by Marquis & Braker 1994) with slightly lower levels occurring during the dry season (Sterck *et al.* 1992). In Madagascar, the mean per cent of leaf area eaten was 13% for the ground layer, 9% for the canopy and 7% for emergent trees for mature, hardened leaves. Such herbivory constitutes a significant loss of photosynthetic capacity and hence of primary productivity.

The vertical stratification of damage from grazing was inverse to that normally found for insect numbers in rain forests. Basset *et al.* (2001) found that herbivorous insects were significantly more abundant (by a factor of 2.5) in the canopy than in the understorey of a rain forest in Gabon. Sutton & Hudson (1980) found small flying insects to be more abundant just above and within the canopy than they were at lower levels; this pattern was consistent between two different forests, between two trapping methods, and among various orders and families of insects. Similar results have also been obtained from rain forests in Cameroon (Basset *et al.* 1992), Brunei, Panama, Papua New Guinea and Sulawesi (reviewed by Sutton 1989) and Kalimantan (Koike *et al.* 1998). The most relevant study is that by Ramilijaona *et al.* (unpubl. data) because they conducted their investigations at the same time and place as the present one. They found that not only were arthropods in general more abundant in the canopy than in the understorey, but that this applied specifically to leaf-feeders. Thus, the greater grazing damage in the understorey than in the canopy, noted in the present study, does not result from an unusual concentration of herbivorous insects there, compared to other rain forests

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3 studied, but to other factors. One possible influence is relative amount of foliage; the  
4 canopy may have a greater number of insects but there is also much more foliage there  
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6 and the ratio of mass of insects to volume of food may be less than at ground level where  
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8 there are fewer insects but relatively less food for them to eat, and consequently greater  
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10 damage per unit area of leaf. Mean size of insects may also be a consideration. If insects  
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12 are bigger on average at ground level, they would cause greater damage per individual  
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14 than would smaller insects in the canopy. A third possible hypothesis is that canopy trees  
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16 and emergents have more effective defences against herbivory and hence suffer less  
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18 damage, a view supported by the finding of Hallé (1998) that canopy leaves had a greater  
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20 resistance to grazing by insects than did those in the understorey. These hypotheses are  
21  
22 not mutually exclusive and all require further empirical testing.  
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30 Coley (1983) found that the susceptibility of trees to grazing by insects in  
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32 Panamanian rain forest was not strongly correlated with phylogenetic relatedness and de  
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34 la Cruz & Dirzo (1987) noted that in a Mexican rain forest interspecific variation in  
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36 levels of herbivory could not be explained on the basis of plant life history or growth  
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38 form and suggested that plants with different life histories employ equally successful (or  
39  
40 unsuccessful) anti-herbivore mechanisms. In the present study, most measures of  
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42 herbivory did not differ among families of trees within a particular stratum. Galls,  
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44 however, were an exception in that both incidence and intensity of attack were strongly  
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46 influenced by the familial designation of the host tree. By contrast to the present study, in  
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48 which infestation by galls was not consistently related to stratum, Fonseca *et al.* (2006)  
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50 found that the density of galls from hymenopterans increased by 50-fold from ground  
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52 layer to the canopy, whereas those of hemipterans decreased to a tenth. Thus, taxonomic  
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3 position, both of the galls and of their host plants, may influence spatial distribution of  
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6 galls.  
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8           A striking result of the present study is how few leaves are affected by any single  
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10 agent; only fungi and grazing insects attacked even half of the leaves. This initial  
11  
12 impression is misleading as the collective threat of all agents combined is great and few  
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14 leaves escape completely intact. Whereas the incidence of attack by any particular agent  
15  
16 is relatively low, the likelihood of attack by some agent is greater than 95%. This means  
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18 that leaf survivorship requires adaptation against a suite of different types of danger,  
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20 some of low probability of occurrence individually, but of high collective probability.  
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24           The vicissitudes of leaves change during the life of the tree as it grows through  
25  
26 different vertical strata. At ground level, leaves have to contend not only with heavy  
27  
28 fungal attack but also with attack by a number of other agents, especially grazing insects.  
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30 In the upper strata, fungal attack increases but that by grazing insects declines.  
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48  
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51 late Shirley Waters, in whose memories this paper is dedicated.  
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21 **Figure legends**

22  
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24 Figure 1. Incidence of attack by various agents on leaves in different strata of a tropical  
25 rain forest in Madagascar. Error bars indicate 1 SE. Horizontal bars indicate strata, for a  
26 given agent, that are not significantly different from each other. An asterisk indicates that  
27 statistical comparisons among strata could not be validly performed because of  
28 significant differences among families of trees in one or more strata.  
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34 Figure 2. Per cent of leaf area eaten by grazing and skeletonizing insects in different  
35 strata in a tropical rain forest in Madagascar. Error bars indicate 1 SE. Horizontal bars  
36 indicate strata, for a given agent, that are not significantly different from each other.  
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Table 1. Statistical tests (ANOVA) for differences among families for various measures of herbivory on leaves in different strata of a rain forest in Madagascar.

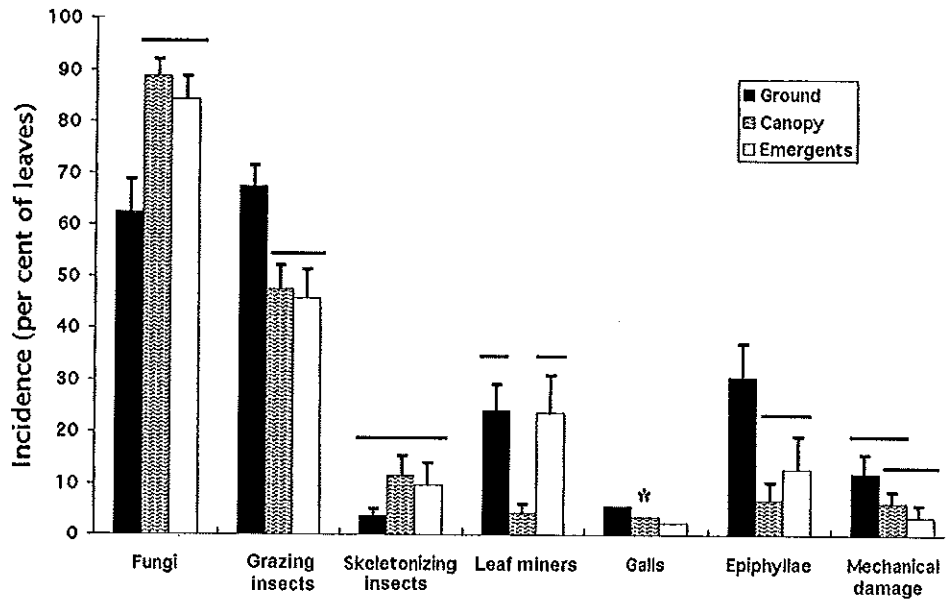
Measure of herbivory	Emergents		Canopy		Ground	
	F	P	F	P	F	P
Agents per leaf	0.47	0.87	0.71	0.75	2.58	0.03
Incidence of attack						
Fungi	1.95	0.18	0.59	0.83	1.35	0.27
Grazing insects	1.43	0.31	1.24	0.34	2.32	0.05
Skeletonizing insects	0.55	0.80	0.43	0.95	0.97	0.49
Leaf miners	0.13	0.98	0.46	0.93	0.85	0.59
Galls	5.30	0.03	11.2	0.005	1.67	0.22
Epiphyllae	1.17	0.42	0.73	0.73	0.96	0.50
Mechanical injury	0.65	0.73	1.77	0.13	1.29	0.30
Intensity of attack						
Grazing insects	0.60	0.77	4.43	0.003	2.72	0.02
Skeletonizing insects	0.59	0.76	0.81	0.65	3.82	0.04
Extent of damage						
Grazing insects	2.13	0.15	1.07	0.45	1.82	0.12
Skeletonizing insects	9.95	0.10	2.46	0.20	2.96	0.20

Table 2. Intensity of attacks on attacked leaves by various guilds of insects in a tropical rain forest in Madagascar.

Agent/stratum	Percentage of attacked leaves with the following number of attacks										Maximum attacks per leaf		
	1	2	3	4	5	6	7	8	9	10		11-20	>20
<b>Grazers</b>													
Emergents	46.7	23.4	15.6	4.5	2.1	2.5	2.1	0.4	1.2	0	2.1	1.2	30
Canopy	52.4	22.0	8.4	6.7	3.8	2.4	1.1	0.9	0.2	0.2	3.8	0.7	36
Ground	23.8	20.5	16.4	14.3	7.4	5.3	3.3	2.5	2.5	2.1	1.6	0.4	22
<b>Skeletonizers</b>													
Emergents	72.9	15.3	5.1	5.1	0	0	0	1.7	0	0	0	0	8
Canopy	44.9	25.8	12.4	4.5	3.4	4.5	1.1	0	2.3	0	1.1	0	12
Ground	16.7	50.0	8.3	0	8.5	8.3	0	0	0	0	8.3	0	12
<b>Galls</b>													
Emergents	61.5	15.4	0	0	7.7	15.4	0	0	0	0	0	0	6
Canopy	27.3	0	18.2	0	9.1	0	9.1	0	9.1	0	9.1	0	12
Ground	5.6	33.3	0	0	61.1	0	0	0	0	0	0	0	5

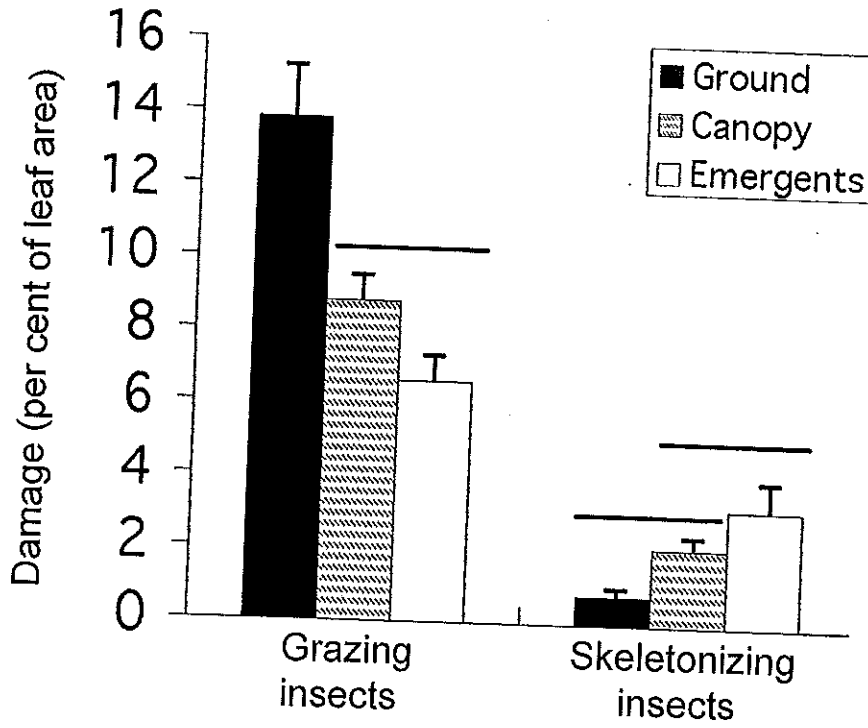
Table 3. Damage to leaves by various agents in different strata of a rain forest in Madagascar. Chi-squared tests of differences among strata: fungi  $\chi^2 = 10.3$ ,  $0.05 > P > 0.02$ ; leaf miners  $\chi^2 = 10.8$ ,  $0.01 > P > 0.001$ ; epiphyllae  $\chi^2 = 30.1$ ,  $P < 0.001$ ; mechanical injury  $\chi^2 = 41.9$ ,  $P < 0.001$ .

Agent/Stratum	Mean percentage of leaves with the following categories of damage or cover			
	+	Slight	Moderate	Heavy
Fungi				
Emergents	64.2	19.6	11.9	4.3
Canopy	72.2	13.4	8.6	5.9
Ground Level	83.3	10.8	4.9	1.0
Leaf miners				
Emergents	88.9	4.8	4.8	1.6
Canopy	96.4	2.4	1.2	0
Ground Level	81.9	3.2	13.8	1.1
Epiphyllae				
Emergents	86.4	10.6	1.5	1.5
Canopy	93.1	0	6.9	0
Ground Level	67.5	16.2	15.4	0.9
Mechanical injury				
Emergents	100	0	0	0
Canopy	83.3	5.0	8.3	3.3
Ground Level	65.6	6.3	25.0	3.1



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